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Affine invariants of annuli

ABSTRACT. A family of regular annuli is considered. Affine invariants of annuli are introduced.

1. Introduction. We denote by \mathcal{C} a family of all plane, closed, strictly convex and regular curves (of the class C^1). It is well known [1], [4] that a curve $C \in \mathcal{C}$ can be parametrized by

$$(1.1) \quad z(t) = p(t)e^{it} + \dot{p}(t)ie^{it} \quad \text{for } t \in [0, 2\pi],$$

where p is the support function of C (the dot denotes the differentiation with respect to t). The tangent vector $\dot{z}(t)$ to C at $z(t)$ is equal to

$$(1.2) \quad \dot{z}(t) = R(t)ie^{it},$$

where the curvature radius R of C is given by the formula

$$(1.3) \quad R = p + \ddot{p} > 0.$$

We denote by Λ a family of all 2π -periodic, positive-valued functions $\lambda : \mathbf{R} \rightarrow \mathbf{R}$ of the class C^1 .

In this paper we will consider a family $\mathcal{C}\Lambda$ of annuli. An annulus CD is an element of $\mathcal{C}\Lambda$ if and only if

- 1° the inner curve C belongs to \mathcal{C} ,
- 2° the outer curve D can be parametrized in the form

$$(1.4) \quad w(t) = z(t) + \lambda(t)ie^{it} \quad \text{for } t \in [0, 2\pi]$$

with some function $\lambda \in \Lambda$.

We will use the differential equation

$$(1.5) \quad \lambda \dot{\eta} = R\eta - R$$

and its solution in the form

$$(1.6) \quad \eta(t, c) = 1 - c \exp \int_0^t \frac{R(m)}{\lambda(m)} dm \quad \text{for } t \in [0, 2\pi],$$

where c is an arbitrary constant.

2. Invariants of annuli.

We note that

Theorem 2.1. *Let an annulus CD belongs to $\mathcal{C}\Lambda$. The number $c_o(CD)$ given by the formula*

$$(2.1) \quad c_o(CD) = \exp \left(- \int_0^{2\pi} \frac{|\dot{z}(t)|}{\lambda(t)} dt \right) = \exp \left(- \int_0^{2\pi} \frac{R(t)}{\lambda(t)} dt \right)$$

does not depend on parametrizations of C , D and affine transformations.

For the proof it suffices to note that $\dot{z}(t) = R(t)ie^{it}$ and $w(t) - z(t) = \lambda(t)ie^{it}$. It follows from (2.1) that

$$(2.2) \quad 0 < c_o(CD) < 1.$$

Let $c_o = c_o(CD)$. If $c \in [0, c_o]$, then we have

$$(2.3) \quad 0 < \eta(t, c) \leq 1.$$

We consider a family of curves

$$(2.4) \quad \mathcal{V}(CD) = \{V(c) : 0 < c \leq c_o\},$$

where a curve $V(c)$ is given by the formula

$$(2.5) \quad v(t, c) = z(t) + \eta(t, c) \lambda(t) ie^{it} \quad \text{for } t \in [0, 2\pi].$$

Of course, curves of the family $\mathcal{V}(CD)$ are affine invariants. The inequality (2.3) implies that all curves of the family $\mathcal{V}(CD)$ are contained in the annulus CD and $V(0) = D$. We have

$$(2.6) \quad v(0, c) - v(2\pi, c) = c \frac{1 - c_o}{c_o} \lambda(0) i.$$

It follows from (2.6) and (2.2) that a curve $V(c)$ is not closed.

For a fixed curve $V(c)$ we have $v(0, c) = w(0) - c\lambda(0)i$ and $v(2\pi, c) = w(0) - \frac{c}{c_o}\lambda(0)i$. It is easy to see that the end point $v(2\pi, c)$ of $V(c)$ belongs to the segment joining points $w(0)$ and $v(0, c_o)$ if $c < c_o^2$. It means that if $c < c_o^2$, then the end point of $V(c)$ is the beginning point of another curve of the family $\mathcal{V}(CD)$.

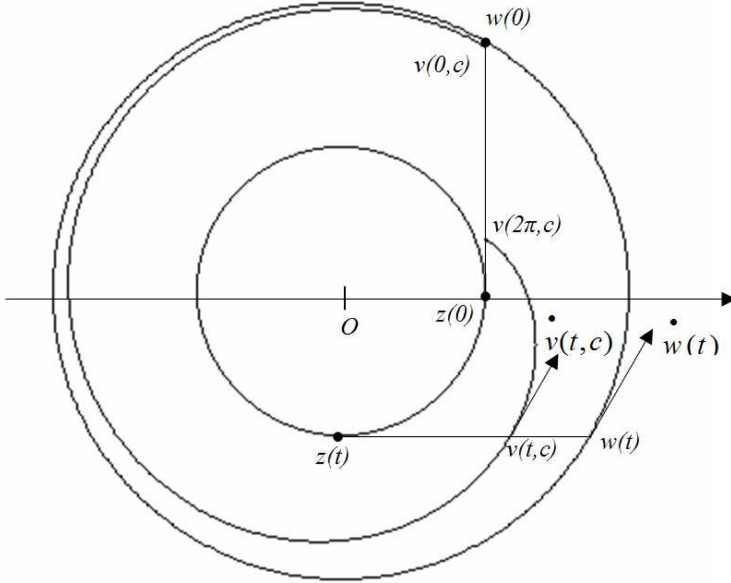


FIGURE 1

Theorem 2.2. Let $CD \in \mathcal{CA}$ and C be a curve of the class C^2 . The following relations between tangent vectors and curvatures of $V(c)$ and D hold

$$(2.7) \quad \dot{v} = \eta \dot{w}$$

and

$$(2.8) \quad \eta k_{V(c)} = k_D.$$

Proof. Differentiating (2.5) and using the differential equation (1.5), we obtain

$$\dot{v} = (R + \dot{\eta}\lambda + \eta\dot{\lambda})ie^{it} - \eta\lambda e^{it} = \eta(-\lambda e^{it} + (R + \dot{\lambda})ie^{it}) = \eta\dot{w}.$$

Hence we obtain immediately (2.8). \square

The following theorem explains a geometric meaning of the invariant c_o .

Theorem 2.3. Let $CD \in \mathcal{CA}$. For an arbitrary curve $V(c) \in \mathcal{V}(CD)$ we have

$$(2.9) \quad \left| \frac{v(2\pi, c) - v(0, c)}{v(0, c) - w(0)} \right| = \frac{1 - c_o}{c_o},$$

where $c_o = c_o(CD)$.

Proof. We have

$$(2.10) \quad w(0) - v(0, c) = (1 - \eta(0, c)) \lambda(0) i = c \lambda(0) i.$$

The formulas (2.6) and (2.10) imply (2.9). \square

Remark. Theorem 2.3 is true if we take

$$\tilde{v}(t, c) = z(t) + \tilde{\eta}(t, c) \lambda(t) i e^{it} \quad \text{for } t \in [t_o, t_o + 2\pi],$$

where

$$\tilde{\eta}(t, c) = 1 - c \exp \int_{t_o}^t \frac{R(m)}{\lambda(m)} dm \quad \text{for } t \in [t_o, t_o + 2\pi].$$

3. Estimation of c_o . Let $C \in \mathcal{C}$. We fix $\lambda \in \Lambda$ and we denote by $C(\lambda)$ a curve given by the formula (1.4), i.e. $w(t) = z(t) + \lambda(t) i e^{it}$ for $t \in [0, 2\pi]$.

Let

$$(3.1) \quad \lambda_m = \min_{[0, 2\pi]} \lambda, \quad \lambda_M = \max_{[0, 2\pi]} \lambda, \quad L(C) = \text{length } C.$$

The obvious inequality

$$\frac{L(C)}{\lambda_M} \leq \int_0^{2\pi} \frac{R(t)}{\lambda(t)} dt \leq \frac{L(C)}{\lambda_m}$$

implies the inequality for $c_o(CC(\lambda))$, namely

$$(3.2) \quad \exp\left(\frac{-L(C)}{\lambda_m}\right) \leq c_o(CC(\lambda)) \leq \exp\left(\frac{-L(C)}{\lambda_M}\right).$$

We note that

Theorem 3.1. *Let $A, B \in \mathcal{C}$ and $L(A) = L(B)$. If the function $\lambda \in \Lambda$ is constant, then*

$$(3.3) \quad c_o(AA(\lambda)) = c_o(BB(\lambda)).$$

4. Special plane annuli. Let S_m denote the circle with the center at the origin and the radius m . We consider an annulus $S_r S_\rho$, where $\rho > r$. We have $\lambda(t) = \sqrt{\rho^2 - r^2}$, $R(t) = r$ and

$$(4.1) \quad c_o = c_o(S_r S_\rho) = \exp\left(\frac{-2\pi r}{\sqrt{\rho^2 - r^2}}\right).$$

Moreover, we have

$$(4.2) \quad \eta(t, c) = 1 - c \exp \frac{rt}{\sqrt{\rho^2 - r^2}}$$

and

$$(4.3) \quad v(t, c) = r e^{it} + \left(1 - c \exp \frac{rt}{\sqrt{\rho^2 - r^2}}\right) \sqrt{\rho^2 - r^2} i e^{it}$$

for $t \in [0, 2\pi]$ and $c \in [0, c_0]$.

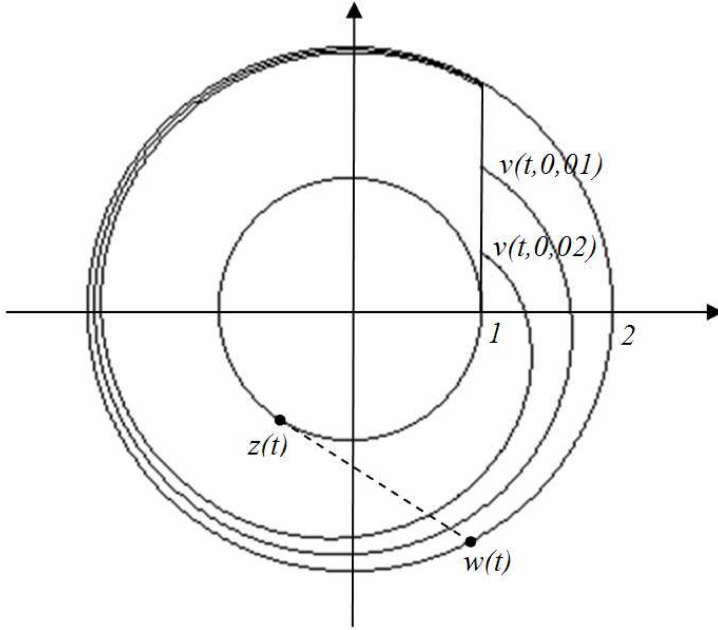


FIGURE 2

Two curves $v(t, c)$ given by (4.3) for $c = 0.01$ and $c = 0.02$ in a circular annulus formed by two concentric circles with $r = 1$ and $\rho = 2$ are presented in Figure 2.

Theorem 4.1. *Let $CD \in \mathcal{CA}$. We assume that C is of the class C^2 and D is a circle. The curvature $k_{V(c)}$ of a curve $V(c)$ is an increasing function.*

Proof. Let $t_2 > t_1$. The formulas (2.8) and (1.6) imply the inequality

$$\begin{aligned} k_{V(c)}(t_2) - k_{V(c)}(t_1) &= k_D \left(\frac{1}{\eta(t_2, c)} - \frac{1}{\eta(t_1, c)} \right) \\ &= \frac{k_D}{\eta(t_2, c)\eta(t_1, c)} c \left(\exp \int_0^{t_2} \frac{R(m)}{\lambda(m)} dm - \exp \int_0^{t_1} \frac{R(m)}{\lambda(m)} dm \right) > 0, \end{aligned}$$

where $c \in (0, c_0)$. Thus the curvature $k_{V(c)}$ is an increasing function. \square

Let C_α be an α -isoptic of $C \in \mathcal{C}$. We recall that an α -isoptic C_α of C consists of those points in the plane from which the curve is seen under the fixed angle $\pi - \alpha$, see [2], [3]. C_α has the form

$$(4.4) \quad z_\alpha(t) = z(t) + \lambda(t, \alpha) i e^{it} = z(t, \alpha) + \mu(t, \alpha) i e^{i(t+\alpha)} \quad \text{for } t \in [0, 2\pi],$$

where

$$(4.5) \quad \lambda(t, \alpha) = \frac{1}{\sin \alpha} [p(t + \alpha) - p(t) \cos \alpha - \dot{p}(t) \sin \alpha]$$

and

$$(4.6) \quad \mu(t, \alpha) = \frac{1}{\sin \alpha} [p(t + \alpha) \cos \alpha - \dot{p}(t + \alpha) \sin \alpha - p(t)] < 0.$$

Moreover, we have

$$(4.7) \quad \frac{\partial \lambda}{\partial \alpha} = \frac{-\mu}{\sin \alpha} > 0,$$

see [3].

We consider a family of all annuli CC_α and the function

$$(4.8) \quad c_o(\alpha) = c_o(CC_\alpha) = \exp\left(-\int_0^{2\pi} \frac{R(t)}{\lambda(t, \alpha)} dt\right) \quad \text{for } \alpha \in (0, \pi).$$

With respect to (4.8) we have

$$\frac{d}{d\alpha} \int_0^{2\pi} \frac{R(t)}{\lambda(t, \alpha)} dt = \int_0^{2\pi} \frac{R(t) \mu(t, \alpha)}{\lambda^3(t, \alpha)} dt < 0.$$

Hence and from the definition of $c_o(\alpha)$ it follows immediately that the mapping $\alpha \rightarrow c_o(\alpha)$ is strictly increasing.

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